Investment Questionnaire FX

The company: **CYRRUS a.s.**

Address: Veveří 111 (PLATINIUM), 616 00 Brno

Company Number: 63907020; entered in the Commercial Register maintained at the Regional Court in Brno, file B.3800

tel.: 538 705 711; e-mail: [info@cyrrus.cz](mailto:info@cyrrus.cz)

(hereinafter referred to as the “Trader”)

name/trade name:       tel.:

place of residence/registered office       e-mail.:

mailing address:       current account number:

Company Number/Personal Number:       ID card (passport) number:       valid to:

represented by:       issued by:

(hereinafter referred to as the “Customer”)

The questionnaire is used by the securities Trader to obtain basic information from the Customer about its special knowledge and experience in the sphere of investments and to enable it to provide the Customer with its services in a qualified manner and in the best interests of the Customer based on the information obtained. The Trader is obliged to request the information specified in this questionnaire according to Act No. 256/2004 Sb. on capital market undertakings. Should the Customer refuse to complete the questionnaire or refuse to answer certain questions in the questionnaire or falsely answer any questions in the questionnaire, it exposes itself to the risk that the Trader will be unable to properly assess whether the provision of the relevant investment service or the execution of a transaction with an investment instrument matches its special knowledge or the experience required to understand the associated risks. If, in spite of this, the Customer insists on the provision of the concerned investment service, the Trader is authorised to provide the Customer with the relevant investment service. In such case the Trader warns the Customer that it is unable to assess whether the concerned investment services and investment instruments match its special knowledge and experience in the sphere of investment as required to comprehend the associated risks. The Trader’s obligation of confidentiality applies to the information provided, according to the Act on Capital Market Undertakings.

**1. Information about intentions**

**1.1. Purpose of trading**

* currency hedge
* other, please specify:

**1.2. Are you interested in receiving information about products and news in the sphere of investment:**

* yes
* no

**2. Knowledge in the sphere of investment**

**2.1.** Specify which types of investment instruments you know

* bonds
* mutual fund certificates
* shares
* investment certificates
* derivatives, including hedging
* none

**2.2.** The nature and risks of transactions with currency forwards

* I understand to a sufficient extent.
* Were explained to me by the Trader and I understand.
* I do not understand, but they will not be used in my investments.

**2.3.** The nature and risks of transactions with currency swaps

* I understand to a sufficient extent.
* Were explained to me by the Trader and I understand.
* I do not understand, but they will not be used in my investments.

**2.4.** The conditions of payouts of compensation from the Guarantee Fund of security traders

* I understand the conditions of payouts of compensation.
* I do not understand the conditions of payouts of compensation.

**2.5.** The General Commercial Terms and Conditions of the Trader contain a description of the investment instruments and services on offer, of commercial strategies, of risks, conflict-of-interest rules, information on incentives and other important facts regarding the terms and conditions of providing investment services. Have you familiarised yourself with this information?

* yes
* no

**2.6.** Do you require a more detailed explanation of the nature of the requested investment service or investment instrument and the risks associated with it?

* yes If yes, please be more specific:
* no

**3. Investment experience**

3.1. State the length of your experience in investing in individual types of investment instruments

* bonds  I have no experience /  up to 1 year /  1-3 years/  longer than 3 years
* mutual fund certificates  I have no experience /  up to 1 year /  1-3 years/  longer than 3 years
* shares  I have no experience /  up to 1 year /  1-3 years/  longer than 3 years
* investment certificates  I have no experience /  up to 1 year /  1-3 years/  longer than 3 years
* derivatives (including hedging)  I have no experience /  up to 1 year /  1-3 years/  longer than 3 years

3.2. Specify the types of investment services you use or have used:

* trading in investment instruments (purchase and sale of securities, including funds)
* entering into transactions with derivatives for the purpose of currency hedging
* managing the assets of a customer (portfolio management)
* investment consultancy
* trading with the use of credit or loans (margin trading)
* other, please specify:
* none

3.3. Specify which types of transaction with investment instruments you have entered into in the past, with which frequency and to what extent

* transactions with bonds

one time only /  occasionally (1-2 per annum) /  regularly (more than 2 per annum)  up to 100 000 CZK /  up to 500 000 CZK /  more

* purchases (subscription), redemption of mutual fund certificates

one time only /  occasionally (1-2 per annum) /  regularly (more than 2 per annum)  up to 100 000 CZK /  up to 500 000 CZK /  more

* transactions with shares

one time only /  occasionally (1-2 per annum) /  regularly (more than 2 per annum)  up to 100 000 CZK /  up to 500 000 CZK /  more

* transactions with derivatives or similar instruments

one time only /  occasionally (1-2 per annum) /  regularly (more than 2 per annum)  up to 100 000 CZK /  up to 500 000 CZK /  more

* none

3.4. Do you have work or study experience (minimum of 1 year) in the area of the capital market or which relates to the capital (financial) market)?

* no
* yes, please specify the type of work or study experience involved:

**4. Financial resources**

4.1. Please specify which category you fall under:

* employee, student
* self-employed - business undertaking, associate
* recipient of pension contributions
* recipient of income from ownership (rent, dividends, etc.)
* non-financial company (only legal persons)
* financial institution (only legal persons)
* other, please specify:

4.2. Specify the source/origin of your incomes (for investment)

* maintenance, contributions, annuity
* wage, salary; please specify your employment:
* incomes from the business activity of a self-employed person, business corporation; please specify business activity:
* incomes from capital (dividends, shares in profit, the letting of real estate, inheritance, etc.); please specify the names of companies in which you have ownership interest and from which the source of income from business undertaking or capital comes:
* other, please be more specific:

**5. Information according to Act No. 253/2008 Sb. on certain measures against legalising the proceeds of criminal activity and terrorist financing (“ZAML”):**

5.1. **Do the funds which you plan to invest come from criminal activity and are used or shall be used to finance terrorism?**

* yes
* no

5.2. **Are you a politically exposed person?**

* no
* yes

N.B. *According to the ZAML, a politically exposed person is:*

a natural person who is or who was in the past in a prominent public positions with national or regional significance and persons connected to such a person. Such persons are understood to be relatives, associates or the beneficial owner of the same entity, persons who are in another close business relationship with that person or who are the beneficial owner of an entity created to the benefit of such a person. Examples of a politically exposed persons are, in particular, head of state, prime minister of the government, head of a central body of state administration and his/her deputies (secretary, state secretary), member of parliament, member of the governing body of a political party, leading representative of regional self-government, judge at the supreme court, constitutional court or other supreme body of justice against whose decisions appeals generally may not be lodged, save in exceptional cases, member of the bank board of the central bank, high-ranking officer in the armed forces or corps, member or deputy member, if a legal person, of the governing body of a business corporation controlled by the state, ambassador or head of a diplomatic mission, or a natural person that carries out or carried out a similar function in a different state, in a body of the European Union or in an international organisation.

**5.3. Information about the beneficial owner - legal persons only**

Please specify the beneficial owner of the legal person:

|  |  |  |  |
| --- | --- | --- | --- |
| First name and surname: |  |  |  |
| Personal Number (or date of birth) |  |  |  |
| Address of permanent residence |  |  |  |
| Choose a letter and specify the reason  ad 1 through 4 |  |  |  |

I declare that the information provided is true.

N.B. *Beneficial owner is, according to ZAML, the natural person that actually or legally has the opportunity to exercise, directly or indirectly, decisive influence in a legal person, in a trust or in another legal arrangement not having legal personality.* It is considered that, when the conditions specified in the first sentence have been met, the beneficial owner is

**a)**in the case of a business corporation the natural person, **1.**who himself or together with persons acting in concert with him has more than 25 % of the voting rights in that business corporation or has a stake in the registered capital of more than 25 %, **2.**who himself or together with persons acting in concert with him controls the person specified in [point 1](https://www.zakonyprolidi.cz/cs/2008-253#f3875173), **3.**who should be the recipient of a minimum of 25 % of the profit in that business corporation, or **4.**who is a member of the governing body, the representative of a legal person in such body or in a position similar to the position of member of the governing body if not the beneficial owner or if it is not possible to determine him according to [point 1 through 3](https://www.zakonyprolidi.cz/cs/2008-253#f3875173_f3875175),

**b)**in the case of an association, a utility, an association of housing unit owners, a church, a religious society or another legal person according to the law which regulates the status of churches and religious societies a natural person **1.**who has more than 25 % of the voting rights therein, **2.**who should be the recipient of at least 25 % of the profits distributed from it or **3.**who is a member of the governing body, the representative of a legal person in such body or in a position similar to the position of member of the governing body, if not the beneficial owner or if not identifiable according to  [point 1](https://www.zakonyprolidi.cz/cs/2008-253#f3875178) or [2](https://www.zakonyprolidi.cz/cs/2008-253#f3875179),

**c)**in the case of a foundation, an institution, an endowment fund, a trust or another legal arrangement not having legal personality the natural person or beneficial owner of the legal entity who is in the position of**1.**the settlor, **2.**the trustee, **3.**the beneficiary, **4.**the persons in whose interest the foundation, institution, endowment fund, trust or other arrangement not having legal personality has been established, if a beneficiary has not been determined, and **5.**the persons authorised to supervise the management of the foundation, institution, endowment fund, trust or other legal arrangement not having legal personality.

**5.4. Information about activities in risk countries. Do you have commercial or other activities in countries or with entities from a country against which the Czech Republic**

**applies international sanctions according to the Act on the Implementation of International Sanctions?**

* no
* yes

**I declare that I am the owner of the funds and instruments that shall be used for the provision of the service, that they do not come from criminal activity and that they are not used to finance terrorism and that they were acquired in accordance with legal regulations. I declare that the foregoing data in the questionnaire is accurate, true and complete and I undertake to inform the Trader of any changes. I take note that stating false or incomplete facts exposes me to the risks associated with unsuitability of transactions, financial instruments or products or a wrongly set investment strategy.**

Done in      on

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# The Customer